Corporate Law & Securities: Essentials for Professionals

5 – 9 July 2027
Munich (Germany)



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Why This Course

In today's business and financial environment, corporate law and securities regulation play a central role in shaping how companies are governed, how capital is raised, and how legal and regulatory risks are managed. Organizations must navigate increasingly complex rules on governance, disclosure, securities offerings, compliance, and enforcement while responding to growing scrutiny from regulators, investors, and the market. A strong understanding of these areas is essential for professionals involved in corporate legal and financial decision-making.

This intensive 1-week course provides a comprehensive overview of corporate law and securities regulation. Participants will gain a deep understanding of key legal concepts, regulatory frameworks, and practical applications in corporate governance, securities offerings, and compliance. The course is designed for legal and finance professionals seeking to enhance their expertise in this critical area.

What You'll Learn and Practice

By joining this program, you will:

- Understand the fundamental principles of corporate law and securities regulation.
- Analyze key legal issues in corporate governance and securities transactions.
- Apply regulatory requirements to real-world scenarios in corporate finance.
- Develop strategies for ensuring compliance with securities laws and regulations.
- Gain practical insights into the latest trends and developments in corporate and securities law.

The Program Flow

Day 1: Foundations of Corporate Law

- Introduction to corporate structures and governance.
- Fiduciary duties of directors and officers.
- Shareholder rights and activism.
- Corporate liability and risk management.



Day 2: Securities Regulation Fundamentals

- Overview of securities laws and regulatory bodies.
- Registration requirements and exemptions.
- Disclosure obligations for public companies.
- Insider trading and market manipulation.

Day 3: Corporate Finance and Securities Offerings

- Types of securities and offering structures.
- Initial public offerings and follow-on offerings.
- Private placements and exempt offerings.
- Due diligence and documentation requirements.

Day 4: Mergers, Acquisitions, and Corporate Restructuring

- Legal framework for mergers and acquisitions transactions.
- Tender offers and proxy contests.
- Antitrust considerations in corporate transactions.
- Corporate restructuring and bankruptcy basics.

Day 5: Compliance and Enforcement

- Corporate compliance programs and best practices.
- SEC enforcement actions and penalties.
- Whistleblower protections and internal investigations.
- Emerging trends in corporate and securities law.

Individual Impact

- Strengthen your ability to navigate complex corporate governance and securities law issues.
- Enhance your skills in structuring and supporting securities offerings.
- Build stronger knowledge of compliance requirements, disclosure obligations, and enforcement risks.
- Gain practical insight into current developments shaping corporate and securities regulation.

Work Impact

- Improve legal and financial decision-making across corporate and securities matters.
- Strengthen governance, disclosure, and compliance practices within the organization.
- Support more effective execution of securities offerings and corporate transactions.
- Enhance the organization’s ability to identify, manage, and mitigate regulatory risks.



Training Methodology

This program integrates legal principles, regulatory analysis, and practical application to ensure real-world relevance and professional impact. Learning methods include:

- Real-world case studies on corporate governance, securities offerings, and enforcement actions.
- Practical exercises in legal analysis, disclosure review, and compliance planning.
- Interactive workshops on securities regulation, corporate finance, and transaction structuring.
- Group discussions on regulatory developments, governance risks, and enforcement trends.
- Frameworks and tools for applying corporate law and securities regulation concepts in practice.

Beyond the Course

Upon completion, participants will be better equipped to address corporate law and securities regulation matters with greater confidence, clarity, and strategic awareness. They will return ready to:

- Apply corporate and securities law principles more effectively in professional practice.
- Support stronger governance, compliance, and disclosure processes.
- Navigate securities offerings and corporate transactions with greater confidence.
- Respond more effectively to regulatory developments and enforcement challenges.

