Mastering Banking and Financial Law: A Comprehensive Course

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Lisbon (Portugal)

UK Traininig
PARTNER



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Why This Course

In today's financial environment, banking and financial institutions operate under intense regulatory scrutiny, evolving compliance expectations, and growing pressure to manage risk effectively. Legal and regulatory developments continue to reshape how financial services are structured, supervised, and delivered. For professionals working in this sector, understanding the legal framework is essential for supporting sound governance, regulatory compliance, and strategic decision-making.

This intensive 1-week course provides a comprehensive overview of banking and financial law. Participants will gain in-depth knowledge of regulatory frameworks, compliance requirements, and risk management strategies in the financial sector. The course covers key aspects of banking structures, financial regulations, and emerging trends in fintech and digital banking.

What You'll Learn and Practice

By joining this program, you will:

- Understand the legal and regulatory framework governing banking and financial institutions.
- Analyze key compliance requirements and risk management strategies.
- Explore the impact of recent legislation and international reform initiatives.
- Examine corporate governance, enforcement actions, and supervisory processes.
- Gain insights into emerging trends and challenges in the financial services industry.

The Program Flow

Day 1: Introduction to Banking and Financial Law

- Overview of the financial services industry.
- Historical development of banking regulations.
- Regulatory framework and key regulatory bodies.
- Impact of recent legislation e.g., Dodd-Frank Act.

Day 2: Banking Structures and Regulations

- Types of financial institutions and charter choices.
- Permissible activities and restrictions.
- Deposit insurance and consumer protection.
- Capital requirements and Basel III.



Day 3: Compliance and Risk Management

- Governance, risk, and compliance GRC framework.
- Anti-money laundering AML and Know Your Customer KYC regulations.
- Operational risk management.
- Cybersecurity and data protection.

Day 4: Corporate Governance and Enforcement

- Corporate governance principles for financial institutions.
- Regulatory supervision and examination processes.
- Enforcement actions and penalties.
- Case studies of major compliance failures.

Day 5: Emerging Trends and Future Challenges

- Fintech and digital banking regulations.
- Cryptocurrency and blockchain in finance.
- Environmental, Social, and Governance ESG considerations.
- Cross-border banking and international regulatory cooperation.

Individual Impact

- Strengthen your understanding of the regulatory landscape in banking and finance.
- Enhance your ability to navigate complex compliance requirements and risk management strategies.
- Build stronger knowledge of corporate governance, enforcement actions, and supervisory processes.
- Gain valuable insight into emerging trends shaping the future of financial services.

Work Impact

- Improve legal and regulatory decision-making across banking and financial operations.
- Strengthen compliance, governance, and risk management practices within financial institutions.
- Support more effective preparation for regulatory examinations and supervisory review.
- Enhance organizational readiness for emerging financial regulations and industry transformation.

Training Methodology

This program integrates legal knowledge, regulatory analysis, and practical application to ensure real-world relevance and professional impact. Learning methods include:

- Real-world case studies on banking regulation, compliance, and enforcement.
- Practical exercises in legal analysis, risk assessment, and regulatory interpretation.
- Interactive workshops on governance, AML, KYC, and operational risk management.
- Group discussions on fintech, digital banking, and evolving financial law challenges.
- Frameworks and tools for applying banking and financial law concepts in practice.



Beyond the Course

Upon completion, participants will be better equipped to address legal, regulatory, and compliance challenges in the financial sector with greater confidence and strategic awareness. They will return ready to:

- Apply banking and financial law principles more effectively in professional settings.
- Support stronger compliance and risk management across financial institutions.
- Respond more confidently to governance, enforcement, and supervisory challenges.
- Navigate emerging developments in fintech, digital banking, and global financial regulation.

